



# Eleven ordered categories data: which modelling options?

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## Background

### Ordered categorical data:

If “low” # of categories → ordered categorical model (OC),  
if “high” # of categories → continuous model (CO).

11 categories [from 0 (no pain) to 10 (worst pain)] data,  
like the Visual Analogue Scale (VAS) or the Likert Scale:

→ Which model(s) should be used for the analysis?

→ Is a generalized Poisson model (GP) also an option?

**Objectives:** - To evaluate models adapted for 11-point data  
- To assess their capability of estimating a simulated drug effect

## Methods



### Step 1 = Original simulations

#### OC:

231 individuals,  $\approx 100$  observations/ID (1/day over 18 weeks)

• Real placebo observations<sup>1</sup> analysed; obtained parameters used to perform 100 simulations of baseline data.

• Dose levels (0, 100, 200, 300) set up; drug effect (0.045 slope, 30% IIV) generated in 100 simulations of drug data.

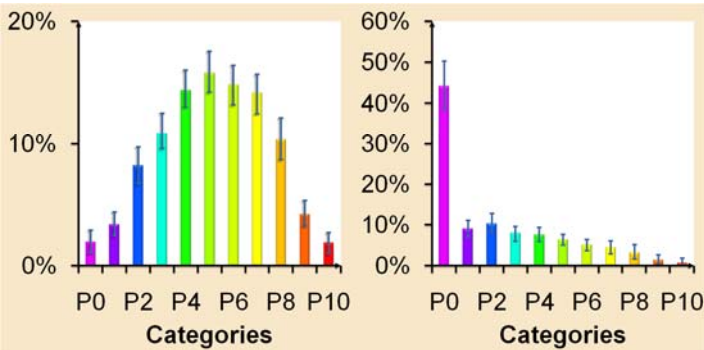


Figure 1: Proportions of the 11 pain scores from the 100 simulations with the OC model (step 1) for baseline data (left) and drug data (right).

### Step 2 = Estimations

#### OC:

• True proportional odds model:  $10 \theta, 1 \eta$

• Linear drug effect (in the logit):  $Slope \times e^{\eta} \times Dose$

#### GP:

• 11-truncated generalized Poisson model:  $1 \lambda, 1 \delta, 2 \eta$

• Polynomial drug effect:  $(Slope_1 \times Dose + Slope_2 \times Dose^2) \times e^{\eta}$

#### CO:

• 10-fold logit transformed continuous model:  $1 \lambda, 1 \eta, 1 \varepsilon$

• Polynomial drug effect (in the logit)

### Step 3 = Final simulations

Each vector of estimated parameters used to generate a simulated dataset for evaluation purposes.

## Results

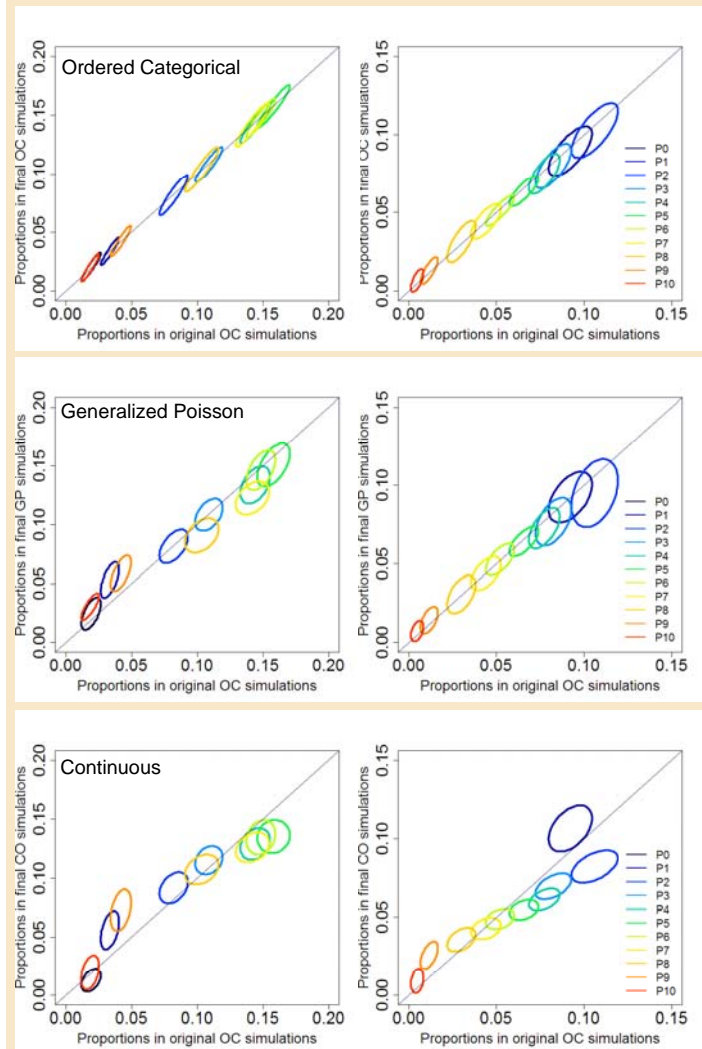


Figure 2: Ellipses of the proportion (%) of each category simulated from final estimates (y axis) versus simulated with original values (x axis) for the 3 studied models OC, GP and CO represented for baseline data (left) and drug data (right).

Table 1: Average scores ( $\pm SD$ ) from steps 1 and 3 for baseline data (left) and drug data (right).

Placebo	OC	GP	CO	Drug	OC	GP	CO
5.2 $\pm$ 2.3	5.2 $\pm$ 2.3	5.1 $\pm$ 2.4	5.2 $\pm$ 2.2	2.2 $\pm$ 2.6	2.2 $\pm$ 2.6	2.2 $\pm$ 2.7	2.3 $\pm$ 2.6

- An ordered categorical model accurately analyses 11-point data, at least with rich datasets and no Markovian pattern,
- A truncated generalized Poisson model performs well,
- A logit-transformed continuous model presents less accurate results when a drug effect is included.

## Conclusion

Although seldom used for Likert data, the OC model performs well. The other models may be alternatives for sparser data sets and in the presence of serial correlations.

## Reference

<sup>1</sup> Plan *et al.* New models for handling correlated underdispersed Likert pain scores. *PAGE* 2009.